

Precious Metal Permit Process

Effective October 1, 2009 North Carolina legislators amended North Carolina general statutes 66-405 and 66-414 relating to the purchase of precious metals. Beginning October 1, 2009 any person engaging in the business of purchasing precious metals must obtain a precious metal permit. Precious metal dealers licensed prior to October 1, 2009 must comply with the ratified bill beginning January 1, 2010.

The below listed work sheet only serves as a quick reference guide to these statutes. Precious Metal dealers should review and follow the attached legislative laws for precious metal regulations.

Compliance with the Precious Metals Law is the responsibility of the business owner. Each business is advised to consult with their attorney to ensure that they are meeting the requirements of the statute. Information provided here is only intended as a guide.

Precious Metal Dealers

Precious metal dealer is defined as a person who purchases precious metals from the public, other than by an exempted transaction, in the form of jewelry, flatware, silver services, or other forms and holds himself or herself out to the public by signs, advertising, or other methods as engaging in such purchases, including any independent contractor purchasing precious metals under any arrangement in any department store.

If you purchase any precious metals you must have a permit with the exception of purchases of coins, medals, medallions, tokens, numismatic items, art ingots, or art bars. Businesses that primarily conduct transactions such as coins, non-precious metal jewelry, antiques, tools, electronic equipment, etc. that are not related to the purchase of precious metals must still maintain a precious metals permit if they purchase non-exempt precious metals.

This law does not apply to Pawn Shops which are governed by North Carolina General Statute 91A.

Precious Metals

Precious metals are defined as gold, silver, platinum, and palladium.

Owner Requirements

Each owner/dealer, co-owner, and stockholder who owns 10% or more of a business that purchases precious metals must complete the precious metal permit application packet to include:

- Recent photograph of applicant, labeled with applicants name.
- A full set of fingerprints of the applicant on an applicant fingerprint card.

- Completed application - Form # 1 for Owners and Form # 2 for Co-Owners
- Consent to Criminal History Check - Form # 5
- Permit applications must be notarized or completed under oath
- Individual permits are required for each location where business is conducted
- There is a 30 Day waiting period before permits are issued. Permit renewals do not require the 30 day waiting period.
- Permits are valid for 12 months
- Cost of each permit is \$180.00, payable to the Town of Franklin
- Criminal History fee for each owner/co-owner in the amount of \$38.00 payable to the Town of Franklin

Employee Requirements

Every employee engaged in the purchase of precious metal must, within 2 days of employment, complete an employee registration process packet for the issuance of a permit. The application packet must include:

- Recent photograph of employee, labeled with the employees name
- A full set of fingerprints of the employee on an applicant fingerprint card.
- Completed employee registration - Form # 3
- Consent to Criminal History Check - Form # 5
- Cost of Employee permit is \$10.00 for the first year, payable to the Town of Franklin
- The annual renewal fee for each employee is \$3.00
- Criminal History fee for each employee in the amount of \$38.00 made payable to the Town of Franklin

Business Privilege License

Precious metal dealers must also obtain a business privilege license. Business privilege licenses authorizes the permittee to conduct any business, trade, profession, occupation, or selling of goods within the Town of Franklin corporate limits.

- Privilege license are issued through the Town of Franklin's Tax Collector and are valid from June 1 - May 31 of each year
- Privilege licenses must be renewed each year
- Business privilege license information and applications are available at:

<http://www.franklinnc.com/forms.html>

or, you may visit the Town of Franklin Tax Collector in person at 95 East Main Street, Franklin NC 28734

Record Keeping Requirements

- See law GS 66-410
- Records of purchases must be turned into or mailed to the Franklin Police Department within 48 hours of the purchase. Records of purchase may be delivered to the Franklin Police Department using one of the following four methods:

Method 1 – Submit Records of Purchase Online at www.leadsonline.com

The Franklin Police would like for businesses to sign up for Leads Online, this will manage your purchases and meet the requirements of sending your records within the 48 hours of purchase to the Franklin Police Department as Leads Online is real time.

Leads Online is FREE to the business. www.leadsonline.com

Leads Online information can be found here: [QuickStart for Businesses.pdf](#)

Method 2 – Records of Purchase may be faxed to:

828-524-2495
Attention: Precious Metals Records

Method 3 – Records of Purchase may be hand delivered to:

Franklin Police Department
218 West Palmer Street
Franklin, NC 28734

Method 4 – Records of Purchase may be mailed to:

Franklin Police Department
PO Box 1479
Franklin, NC 28744
Attention: Precious Metal Records

Items not to be modified

- All purchases must be maintained for a period of 7 days in the original condition on the licensed premises or other location specified in the permit application.

Miscellaneous

Upon completing all the requirements of the Precious Metal Permit application, the application packet can be delivered to the Franklin Police Department Monday through Friday between 9 AM and 5 PM (excluding holidays). Applicants may also mail the completed packet to:

Franklin Police Department
PO Box 1479
Franklin NC 28744
Attention Precious Metals

The requirements of photographs may be met by the submission of any type of photo as long it accurately resembles the applicant. (i.e. Polaroid, printed digital, etc.) Please label the photograph with the name of the applicant.

The requirement of fingerprints may be met by visiting the Franklin Police Department located at 218 West Palmer Street, Franklin, NC or the Macon County Sheriff's Department located at 1820 Lakeside Drive, Franklin, NC.

Out of town applicants may inquire at their local law enforcement agency for assistance.

Precious Metal Permit

§ 66-405. Legislative finding.

The General Assembly finds and declares that precious metal businesses in North Carolina vitally affect the general economy of the State and the public interest and public welfare, and in the exercise of its police power, it is necessary to regulate such businesses, in order to prevent thefts, disposal of stolen property, and other abuses upon its citizens. (1981, c. 956, s. 1; 2012-46, s. 15.)

§ 66-406. Definitions.

The following definitions apply in this Part:

(1) Dealer. — A person who purchases precious metals from the public, other than by an exempted transaction, in the form of jewelry, flatware, silver services, or other forms and holds himself or herself out to the public by signs, advertising, or other methods as engaging in such purchases, including any independent contractor purchasing precious metals under any arrangement in any department store. An exempted transaction is one that is (i) not considered in determining whether a person is a dealer under this Part and (ii) not subject to the requirements of this Part, even if it is entered into by a person otherwise defined and regulated as a dealer. Exempted transactions are:

a. Purchases directly from manufacturers or wholesalers of precious metals by permanently located retail merchants for their inventories.

b. Pawns, pledges, or purchases of items made of precious metals, if the transaction is entered into by a licensed pawnbroker and the transaction is regulated under the provisions of Part 1 of this Article.

c. The acquisition of precious metals by a permanently located retail merchant through barter or exchange for other items sold in the ordinary course of the merchant's business, provided that the seller does not receive, as part of the transaction, any sum of money or any gift card or stored-value card, unless the card is redeemable only at that merchant's business.

(2) Local law enforcement agency. — The term means the following, as applicable:

- a. The county police force, if the dealer's business is located within a county with a county police force and outside the corporate limits of a municipality.
- b. The municipal police force, if the dealer's business is located within the corporate limits of a municipality having a police force.
- c. The county sheriff's office of the county in which the dealer's business is located, if neither sub-subdivision a. nor b. of this subdivision applies.

(3) Precious metal. — Gold, silver, platinum, or palladium, as defined below, but excluding coins, medals, medallions, tokens, numismatic items, art ingots, or art bars.

- a. Gold. — Any item or article containing 10 karats of gold or more which may be in combination or alloy with any other metal.
- b. Silver. — Any item or article containing 925 parts per thousand of silver which may be in combination or alloy with any nonprecious metal or which is marked "sterling".
- c. Platinum. — Any item or article containing 900 parts per thousand or more of platinum which may be in combination or alloy with any other metal.
- d. Palladium. — Any item or article containing 950 parts per thousand or more of palladium which may be in combination or alloy with any other metal. (1981, c. 956, s. 1; c. 1001, s. 3; 1989 (Reg. Sess., 1990), c. 1024, s. 10(b); 2009-482, s. 1; 2012-46, ss. 15, 17.)

§ 66-407. Permits.

(a) Dealer Permit. — Except as provided in subsection (c) of this section, it is unlawful for any person to engage as a dealer in the business of purchasing precious metals either as a separate business or in connection with other business operations without first obtaining a permit for the business from the local law enforcement agency. The Department of Public Safety shall approve the forms for both the application and the permit. The application shall be given under oath and shall be notarized. A 30-day waiting period from the date of filing of the application is required prior to initial issuance of a permit. A separate permit shall be issued for each location, place, or premises within the jurisdiction of the local law enforcement agency which is used for conducting a precious metals business, and each permit shall designate the location, place or premises to which it applies. No business shall be conducted in a place other than that designated in the permit, or in a mobile home, trailer, camper, or other vehicle, or structure not permanently affixed to the ground or in any room customarily used for lodging in any hotel, motel, tourist

court, or tourist home. The permit shall be posted in a prominent place on the designated premises. Permits shall be valid for a period of 12 months from the date issued and may be renewed without a waiting period upon filing of an application and payment of the annual fee.

The annual fee for a permit within each jurisdiction is one hundred eighty dollars (\$180.00) to provide for the administrative costs of the local law enforcement agency, including the purchase of required forms and the cost of conducting the criminal history record check of the applicant. The fee is not refundable even if the permits are denied or later suspended or revoked. A permit issued under this section is in addition to and not in lieu of other business licenses and is not transferable. No person other than the dealer named on the permit and that dealer's employees may engage in the business of purchasing precious metals under the authority of the permit.

Any dealer applying to the local law enforcement agency for a permit shall furnish the local law enforcement agency with the following information:

- (1) The applicant's full name, and any other names used by the applicant during the preceding five years. In the case of a partnership, association, or corporation, the applicant shall list any partnership, association, or corporate names used during the preceding five years.
- (2) Current address, and all addresses used by the applicant during the preceding five years.
- (3) Physical description.
- (4) Age.
- (5) Driver's license number, if any, and state of issuance.
- (6) Recent photograph.
- (7) Record of felony convictions.
- (8) Record of other convictions during the preceding five years.
- (9) A full set of fingerprints of the applicant.

If the applicant for a dealer's permit is a partnership or association, all persons owning a ten percent (10%) or more interest in the partnership or association shall comply with the provisions of this subsection. These permits shall be issued in the name of the partnership or association.

If the applicant for a dealer's permit is a corporation, each officer, director and stockholder owning ten percent (10%) or more of the corporation's stock, of any class, shall

comply with the provisions of this subsection. These permits shall be issued in the name of the corporation.

No permit shall be issued to an applicant who has been convicted of a felony involving a crime of moral turpitude, or larceny, or receiving stolen goods or of similar charges in any federal court or a court of this or any other state, unless the applicant has had his or her rights of citizenship restored pursuant to Chapter 13 of the General Statutes for five years or longer immediately preceding the date of application. In the case of a partnership, association, or corporation, no permit shall be issued to any applicant with an officer, partner, or director who has been convicted of a felony involving a crime of moral turpitude, or larceny, or receiving stolen goods or of similar charges in any federal court or a court of this or any other state, unless that person has had his or her rights of citizenship restored pursuant to Chapter 13 of the General Statutes for five years or longer immediately preceding the date of application.

The Department of Justice may provide a criminal history record check to the local law enforcement agency for a person who has applied for a permit through the agency. The agency shall provide to the Department of Justice, along with the request, the fingerprints of the applicant, any additional information required by the Department of Justice, and a form signed by the applicant consenting to the check of the criminal record and to the use of the fingerprints and other identifying information required by the State or national repositories. The applicant's fingerprints shall be forwarded to the State Bureau of Investigation for a search of the State's criminal history record file, and the State Bureau of Investigation shall forward a set of the fingerprints to the Federal Bureau of Investigation for a national criminal history record check. The agency shall keep all information pursuant to this subsection privileged, in accordance with applicable State law and federal guidelines, and the information shall be confidential and shall not be a public record under Chapter 132 of the General Statutes.

The Department of Justice may charge each applicant a fee for conducting the checks of criminal history records authorized by this subsection.

(b) **Employee Requirements.** — Every employee engaged in the precious metals purchasing business shall, within two business days of being so engaged, register his or her name and address with the local law enforcement agency and have his or her photograph taken by the agency. The employee also shall consent to a criminal history record check, which shall be performed by the local law enforcement agency. A person who refuses to consent to a criminal history record check shall not be employed by a dealer required to be licensed under this section. A person who has been convicted of a felony involving a crime of moral turpitude, larceny, receiving stolen goods, or of similar charges shall not be employed by a dealer required to be licensed under this section, unless the person has had his or her rights of citizenship restored pursuant to Chapter 13 of the General Statutes for five years or longer immediately preceding the date of registration. The agency shall issue to the employee a certificate of compliance with this section upon the applicant's payment of the sum of ten dollars (\$10.00) to the agency. The

certificate shall be renewed annually for a three-dollar (\$3.00) fee and shall be posted in the work area of the registered employee. An employee is not subject to the requirements of this subsection if the employee is engaged in the precious metals purchasing business only incidentally to his or her main job responsibilities, and each precious metals transaction with which the employee is involved is overseen by a licensed dealer or registered employee. All records of transactions must be signed by the licensed dealer or registered employee at the time of the transaction, as required under G.S. 66-410(a).

The Department of Justice may provide a criminal history record check to the local law enforcement agency for an employee engaged in the precious metals business. The agency shall provide to the Department of Justice, along with the request, the fingerprints of the employee, any additional information required by the Department of Justice, and a form signed by the employee consenting to the check of the criminal record and to the use of the fingerprints and other identifying information required by the State or national repositories. The employee's fingerprints shall be forwarded to the State Bureau of Investigation for a search of the State's criminal history record file, and the State Bureau of Investigation shall forward a set of the fingerprints to the Federal Bureau of Investigation for a national criminal history record check. The agency shall keep all information pursuant to this subsection privileged, in accordance with applicable State law and federal guidelines, and the information shall be confidential and shall not be a public record under Chapter 132 of the General Statutes.

The Department of Justice may charge each employee a fee for conducting the checks of criminal history records authorized by this subsection.

(c) Special Occasion Permit. — A special occasion permit authorizes the permittee to purchase precious metals as a dealer participating in any trade shows, antique shows, and crafts shows conducted within the State. A special occasion permit shall be issued by any local law enforcement agency; provided, however, that a permittee under subsection (a) of this section shall apply for a special occasion permit with the local law enforcement agency that issued the dealer's permit. The Department of Public Safety shall approve the forms for both the application and the permit. The application shall be given under oath and notarized. A 30-day waiting period from the date of filing of the application is required prior to initial issuance of a permit.

Any dealer applying to a local law enforcement agency for a special occasion permit shall furnish the local law enforcement agency with the information required in an application for a dealer's permit as set forth in subsection (a) of this section. In addition, the applicant shall provide a physical address where any item included in a dealer purchase will be held for the period required under G.S. 66-411. The physical address shall be the location where the purchase was made, unless another physical address within the law enforcement jurisdiction where the purchase was made is approved by the law enforcement agency that issues the permit. The items shall be available at all reasonable times for inspection on the premises by law enforcement agencies.

If the applicant for a special occasion permit is a partnership or association, all persons owning a ten percent (10%) or more interest in the partnership or association shall comply with the provisions of this subsection. Any such permits shall be issued in the name of the partnership or association.

If the applicant for a special occasion permit is a corporation, each officer, director and stockholder owning ten percent (10%) or more of the corporation's stock, of any class, shall comply with the provisions of this subsection. Any such permits shall be issued in the name of the corporation.

No permit shall be issued to an applicant who has been convicted of a felony involving a crime of moral turpitude, or larceny, or receiving stolen goods or of similar charges in any federal court or a court of this or any other state, unless the applicant has had his or her rights of citizenship restored pursuant to Chapter 13 of the General Statutes for five years or longer immediately preceding the date of application. In the case of a partnership, association, or corporation, no permit shall be issued to any applicant with an officer, partner, or director who has been convicted of a felony involving a crime of moral turpitude, or larceny, or receiving stolen goods or of similar charges in any federal court or a court of this or any other state, unless that person has had his or her rights of citizenship restored pursuant to Chapter 13 of the General Statutes for five years or longer immediately preceding the date of application.

The Department of Justice may provide a criminal history record check to the local law enforcement agency for a person who has applied for a permit through the agency. The agency shall provide to the Department of Justice, along with the request, the fingerprints of the applicant, any additional information required by the Department of Justice, and a form signed by the applicant consenting to the check of the criminal record and to the use of the fingerprints and other identifying information required by the State or national repositories. The applicant's fingerprints shall be forwarded to the State Bureau of Investigation for a search of the State's criminal history record file, and the State Bureau of Investigation shall forward a set of the fingerprints to the Federal Bureau of Investigation for a national criminal history record check. The agency shall keep all information pursuant to this subsection privileged, in accordance with applicable State law and federal guidelines, and the information shall be confidential and shall not be a public record under Chapter 132 of the General Statutes.

The Department of Justice may charge each applicant a fee for conducting the checks of criminal history records authorized by this subsection.

The filing fee for a special occasion permit application is one hundred eighty dollars (\$180.00) to provide for the administrative cost of the local law enforcement agency including purchase of required forms and the cost of conducting the criminal history record check of the applicant. The fee is not refundable even if the permit is denied or is later suspended or revoked. A special occasion permit is in addition to and not in lieu of other business licenses and is not

transferable. No person other than the dealer named on the permit and that dealer's employees may engage in the business of purchasing precious metals under the authority of the permit.

A special occasion permit is valid for 12 months from the date issued, unless earlier surrendered, suspended, or revoked. Application for renewal of a permit for an additional 12 months shall be on a form approved by the Department of Public Safety and shall be accompanied by a nonrefundable renewal fee of one hundred eighty dollars (\$180.00).

Each special occasion permit shall be posted in a prominent place on the premises of any show at which the permittee purchases precious metals. (1981, c. 956, s. 1; 2002-147, s. 2; 2009-482, s. 2; 2011-145, s. 19.1(g); 2012-46, ss. 15, 18.)

§ 66-408. Perjury; punishment.

Any person who shall willfully commit perjury in any application for a permit or exemption filed pursuant to this Part shall be guilty of a Class 2 misdemeanor. (1981, c. 956, s. 1; 1993, c. 539, s. 525; 1994, Ex. Sess., c. 24, s. 14(c); 2012-46, ss. 15, 19.)

§ 66-409. Bond or trust account required.

Before any permit shall be issued to a dealer pursuant to G.S. 66-407, the dealer shall execute a satisfactory cash or surety bond or establish a trust account with a licensed and insured bank or savings institution located in the State of North Carolina in the sum of ten thousand dollars (\$10,000). The bond or trust account shall be in favor of the State of North Carolina. A surety bond is to be executed by the dealer and by two responsible sureties or a surety company licensed to do business in the State of North Carolina and shall be on a form approved by the Department of Public Safety. Any bond shall be kept in full force and effect and shall be delivered to the law-enforcement agency which first issued a current permit to the dealer. A bond or trust account shall be for the faithful performance of the requirements and obligations of the dealer's business in conformity with this Part. Any law-enforcement agency shall have full power and authority to revoke the permit and sue for forfeiture of the bond or trust account upon a breach thereof. Any person who shall have suffered any loss or damage by any act of the permittee that constitutes a violation of this Part shall have the right to institute an action to recover against such permittee and the surety or trust account. Upon termination of the bond or trust account the permit shall become void. (1981, c. 956, s. 1; c. 1001, s. 4; 2011-145, s. 19.1(g); 2012-46, ss. 15, 20.)

§ 66-410. Records to be kept.

(a) Every dealer to whom a permit has been issued pursuant to G.S. 66-407 shall maintain consecutively numbered records of each precious metals transaction. Each consecutively numbered record shall be made at the time of the transaction and shall contain a clear and accurate description of the transaction. A valid description shall include each of the

following applicable and available items of information: the manufacturer's name, the model, the model number, the serial number, and any engraved numbers or initials found on the items; the date of the transaction; the name, sex, race, residence, telephone number and driver's license number of the person selling the items purchased; and the signature of both the dealer or registered employee and the seller. In the event the seller cannot furnish valid, unexpired photographic identification in the form of a drivers license, State-issued identification card, passport, or military identification card, the dealer shall require two forms of positive identification.

(b) The consecutively numbered records required by this section shall be kept either (i) in a paginated, bound book or set of books with pages numbered in sequence or (ii) in an electronic database that prevents record deletion, tracks all modifications to records, and provides for electronic signatures.

(c) The records shall be open at all reasonable times to inspection on the premises by law enforcement agencies, and an individual record shall be retained for at least two years after a transaction. If a dealer maintains a record book rather than an electronic database, the book shall be retained until at least two years following the last recorded transaction.

(d) A copy of each consecutively numbered record entry shall be filed within 48 hours of the transaction in the office of the local law enforcement agency. Records shall be filed in the manner authorized by the local law enforcement agency, which may include reporting electronically by transmission over a computer network, by facsimile machine, or by hand delivering hard copies to the local law enforcement agency. In any case where a technological failure prevents a dealer from reporting electronically or by facsimile, the dealer shall have the option of hand delivering a hard copy of the record to the local law enforcement agency. Regardless of the manner in which the local law enforcement agency allows reporting, a dealer shall provide a hard copy of records upon the request of a law enforcement agency.

(e) The files of local law enforcement agencies that contain copies of records shall not be subject to inspection and examination as authorized by G.S. 132-6. Any public official or employee who shall knowingly and willfully permit any person to have access to or custody or possession of any portion of such files, unless the person is one specifically authorized by the local law enforcement agency to have access for purposes of law enforcement investigation or civil or criminal proceedings, shall be guilty of a Class 3 misdemeanor and upon conviction shall only be fined up to five hundred dollars (\$500.00) in the discretion of the court. (1981, c. 956, s. 1; 1993, c. 539, s. 526; 1994, Ex. Sess., c. 24, s. 14(c); 2009-482, s. 4; 2012-46, ss. 15, 21.)

§ 66-411. Items not to be modified.

No item included in a dealer purchase shall be sold, traded or otherwise disposed of, melted, cut or otherwise changed in form nor shall any item be removed from the licensed premises, or other location specified on the application for a special occasion permit, for a period

of seven days from the date the transaction was reported in accordance with G.S. 66-410. (1981, c. 956, s. 1; 2009-482, s. 5; 2012-46, ss. 15, 22.)

§ 66-412. Purchasing from juvenile.

No dealer or employee or agent thereof shall purchase from any juvenile under 18 years of age any article made, in whole or in part, of precious metal. (1981, c. 956, s. 1; 2012-46, s. 15.)

§ 66-413. Penalties.

Any dealer who violates the provisions of this Part shall be deemed guilty of a Class 2 misdemeanor. In addition any dealer so convicted shall be ineligible for a dealer's permit for a period of three years from the date of conviction. Each and every violation shall constitute a separate and distinct offense. (1981, c. 956, s. 1; 1993, c. 539, s. 527; 1994, Ex. Sess., c. 24, s. 14(c); 2012-46, ss. 15, 23.)

§ 66-414. Portable smelters prohibited.

It shall be unlawful for any person to possess or operate a smelter in any mobile home, trailer, camper, or other vehicle or structure not permanently affixed to the ground, for the purpose of refining precious metals. Violation of the provisions of this section shall constitute a Class 2 misdemeanor. (1981, c. 956, s. 1; 1993, c. 539, s. 528; 1994, Ex. Sess., c. 24, s. 14(c); 2012-46, s. 15.)

APPLICATION FOR PRECIOUS METALS DEALER PERMIT
[G.S. §66-165]

Name of business: _____

Address of business: _____

Full name of applicant: _____ Date of birth: _____

Current address: _____

All addresses of applicant for previous five years: _____

Any names used by applicant, in the last five years, other than that given above:

Physical description: Race: _____ Color of eyes: _____
 Height: _____ Color of hair: _____
 Weight: _____

Distinguishing marks or characteristics: _____

Drivers license number/state of issue: _____

List all felony convictions in any state or federal court: _____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____

Form of business (check one):

_____ Individual _____ Partnership _____ Association or corporation

If partnership, association or corporation:

(a) List name and current address of all Officers, Directors of the corporation and all persons owning 10% or more of the stock in the corporation or interest in the partnership or association: [Note: all persons listed must complete the Co-Owner Supplemental Information Form.]

Names	Addresses
_____	_____
_____	_____
_____	_____
_____	_____

(b) List all other names and addresses used now or in the past five years by the partnership, association or corporation:

Names	Addresses
_____	_____
_____	_____
_____	_____
_____	_____

(c) _____ A supplementary information sheet is attached for each person listed in subsection (a) above.

List names of all current employees: _____

Photograph: _____ A recent photograph or copy of driver's license or ID card is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application.

Fingerprints: _____ A full set of fingerprints is attached. (The applicant should contact the law enforcement agency issuing the permit about being fingerprinted.)

This application is for: _____ regular permit
_____ special occasion permit

I propose to meet the bond or trust requirements of G.S. 66-168 by:

_____ Posting a cash bond _____ Establishing a trust account
_____ Posting a surety bond

Signature of applicant: _____

Sworn to and subscribed before me this

the _____ day of _____, 20____.

Notary Public

My commission expires: _____

-----FOR LAW ENFORCEMENT AGENCY USE ONLY-----

\$180.00 Annual fee received: ___ day of _____, 20___; Check No. _____
Amount: \$_____.00.

SBI Fingerprint check fee in the amount of \$_____.00; Check No. _____

A copy of the Security Bond was received on ___ day of _____, 20____.

Date and time application filed: _____, 20____, _____ am/pm

APPLICATION APPROVED BY: _____

Name of Law Enforcement Agency: _____

**SUPPLEMENTARY INFORMATION SHEET
FOR CO-OWNER OF PRECIOUS METALS BUSINESS**
[G.S. § 66-165]

[Note: A copy of this form must be completed by each officer and director of a corporation and any person owning 10% or more of the stock and any person owning 10% or more of a partnership or association.]

Name of business: _____

Full name of co-owner: _____ Date of birth: _____

Current address: _____

All addresses of co-owner for previous five years: _____

Any names used by co-owner, in the last five years, other than that given above:

Physical description: Race: _____ Color of eyes: _____
 Height: _____ Color of hair: _____
 Weight: _____

Distinguishing marks or characteristics: _____

Driver's license number/state of issue: _____

List all felony convictions in any state or federal court: _____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____

Photograph: _____ A recent photograph is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application, and I agree to pay a fee of \$_____._____ for this service.

Fingerprints: _____ A full set of fingerprints is attached. (The co-owner should contact the law enforcement agency issuing the permit about being fingerprinted.)

Signature of co-owner: _____

Sworn to and subscribed before me this

the _____ day of _____, 20____.

Notary Public

My commission expires: _____

-----**FOR LAW ENFORCEMENT AGENCY USE ONLY**-----

SBI Finger Print check fee in the amount of \$_____.00. Check No. _____

Received by: _____

PRECIOUS METALS BUSINESS EMPLOYEE REGISTRATION FORM

I have been informed that G. S. § 66-165(b) requires every employee engaged in the Precious Metals Business to register his name and address with the appropriate local law enforcement agency within two days of being employed. I also have been informed that the law requires each employee to be photographed and to pay a fee of \$10.00 before being issued a Certificate of Compliance allowing him or her to work in a Precious Metals Business. I have also been informed that the subsequent annual renewal fee is \$3.00 for the same employee. I have further been informed that the certificate of compliance must be posted in the work area of the Precious Metals Permit holder:

I hereby submit this request for registration as follows:

Print Name of Employee: _____

Home Address of Employee: _____

Employer's Name: _____

Employer's Address: _____

Location of business (if different): _____

Permit Issued for Business on: ____/____/____.

Photograph: _____ A recent photograph or a copy of driver's license or ID card is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application.

This the ____ day of _____, 20____.

Signature of Employee

-----**FOR LAW ENFORCEMENT AGENCY USE ONLY**-----

\$10.00 Employee Registration fee paid: ____/____/____

Check No. _____

Photographs taken: _____ Collected by Officer: _____
(date)

Subsequent years renewals approved by Law Enforcement Agency:

Renewal Fee of \$3.00 paid ____/____/____ (for renewal of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

(repeat above renewal form for additional years of registration of this same business employee)

STATE OF NORTH CAROLINA

PRECIOUS METALS DEALER

COUNTY OF _____

BOND
[G.S. § 66-168]

We _____ of
_____(address), as PRINCIPAL, and

_____ of _____
_____(address), a corporation
incorporated under the laws of the State of _____

and duly licensed to transact a SURETY business in the State of North Carolina, as SURETY, are indebted and bound to the State of North Carolina in the sum of TEN THOUSAND DOLLARS (\$10,000.00) for which payment we bind ourselves and our legal representatives and successors jointly and severally.

The condition of this obligation is that the PRINCIPAL is desirous of conducting and will conduct a precious metal business as defined in North Carolina General Statute § 66-163, et seq., and it is required by the provisions of the aforesaid law, that the PRINCIPAL furnish a bond on the terms and conditions set forth therein.

If the PRINCIPAL and all his agents and employees shall faithfully and honestly perform all their obligations as required by the aforesaid statutes, then this obligation shall be null and void; otherwise, it shall be in full force and effect.

This SURETY bond is intended to comply with the requirements of Article 25 of Chapter 166 of the North Carolina General Statutes and in accordance with the provisions and requirements of that Act, it is expressly provided that:

1. This bond is executed by the said PRINCIPAL and SURETY to enable the PRINCIPAL to obtain a license under the provisions of this law;
2. Any person who sustains injury by reason of any act or omission covered by this bond may, in addition to any other remedy that he may have, bring an action in his own name on this bond for the recovery of damages sustained by him.

The total aggregate liability of the SURETY hereon shall be limited to the sum of TEN THOUSAND DOLLARS (\$10,000.00).

Upon termination or cancellation of this bond, notice thereof shall be immediately
CC&PS Precious Metals Form 7 (rev. 11/1/02)

given to the law enforcement agency issuing the permit as set forth in North Carolina General Statute § 66-168.

IN WITNESS WHEREOF, the above bounded parties have executed this Instrument under their several seals, this the _____ day of _____, 20 ____, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

PRINCIPAL

By: _____

Title: _____

SURETY

By: _____

Title: _____

**Franklin Police Department
Criminal Record Check Consent Form**

(Precious Metals Permit)

RELEASE:

I authorize the North Carolina Department of Justice through the State Bureau of Investigation, Division of Criminal Information to perform a name and/or fingerprint search of the State's criminal history record file and/or the Federal Bureau of Investigation for a national criminal history record check in connection with my suitability to obtain a Precious Metals Permit pursuant to N.C.G.S. 66-165.

In addition, I authorize the Franklin Police Department to conduct a name check through use of the Administrative Office of the Courts (AOC) data system. I understand that the North Carolina State Bureau of Investigation, Division of Criminal Information, the Administrative Office of the Courts, and their officials and employees shall not be held legally accountable in any way for providing this information to the Franklin Police Department and I hereby release said agencies and persons from any and all liability which may be incurred as a result of furnishing such information.

I further understand the Franklin Police Department cannot release the results of the criminal history record check to me.

I understand failure to consent is just cause to deny my request for a Precious Metal Dealer Permit and a criminal history may serve as a basis to deny my application.

Full name: _____

Race: _____ Sex: _____ DOB: _____

Social Security Number _____

Signed: _____ Date _____